

(19) World Intellectual Property
Organization
International Bureau



(43) International Publication Date
30 September 2004 (30.09.2004)

PCT

(10) International Publication Number
WO 2004/083328 A2

(51) International Patent Classification⁷: C09G 1/02, C09K 3/14

(21) International Application Number:
PCT/US2004/007468

(22) International Filing Date: 11 March 2004 (11.03.2004)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
60/455,216 17 March 2003 (17.03.2003) US
60/509,445 8 October 2003 (08.10.2003) US
10/ 5 March 2004 (05.03.2004) US

(71) Applicant (for all designated States except US): ENGELHARD CORPORATION [US/US]; 101 Wood Avenue, P.O. Box 770, Iselin, NJ 08830-0770 (US).

(72) Inventors: MATHUR, Sharad; 602 Millrun Court, Macon, GA 31210 (US). MOINI, Hamad; 168 Carter Road, Princeton, NJ 08540 (US). PETROVIC, Ivan; 8C Brookline Court, Princeton, NJ 08540 (US).

(74) Agents: HAMPILOS, Gus, T. et al.; Engelhard Corporation, 101 Wood Avenue, P.O. Box 770, Iselin, NJ 0830-0770 (US).

(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:
— without international search report and to be republished upon receipt of that report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: SLURRY COMPOSITIONS FOR USE IN A CHEMICAL-MECHANICAL PLANARIZATION PROCESS

(57) Abstract: A chemical-mechanical abrasive composition for use in semiconductor processing uses abrasive particles having a non-spherical morphology.



WO 2004/083328 A2

SLURRY COMPOSITIONS FOR USE IN A CHEMICAL-MECHANICAL
PLANARIZATION PROCESS

This patent application claims the benefit of pending provisional US patent
5 applications 60/455,216 filed March 17, 2003 and 60/509,445 filed October 8, 2003,
incorporated herein in their entireties.

FIELD OF THE INVENTION

The present invention relates to a novel slurry for chemical-mechanical
10 planarization (CMP). The present invention is applicable to manufacturing high speed
integrated circuits having submicron design features and high conductivity
interconnect structures with high production throughput.

BACKGROUND OF THE INVENTION

15 In the fabrication of integrated circuits and other electronic devices, multiple
layers of conducting, semiconducting, and dielectric materials are deposited on or
removed from a surface of a substrate. Thin layers of conducting, semiconducting,
and dielectric materials may be deposited by a number of deposition techniques.
Common deposition techniques in modern processing include physical vapor
20 deposition (PVD), also known as sputtering, chemical vapor deposition (CVD),
plasma-enhanced chemical vapor deposition (PECVD), and now electrochemical
plating (ECP).

As layers of materials are sequentially deposited and removed, the uppermost
surface of the substrate may become non-planar across its surface and require
25 planarization. Planarizing a surface, or "polishing" a surface, is a process where
material is removed from the surface of the substrate to form a generally even planar
surface. Planarization is useful in removing undesired surface topography and surface
defects, such as rough surfaces, agglomerated materials, crystal lattice damage,
scratches, and contaminated layers or materials. Planarization is also useful in
30 forming features on a substrate by removing excess deposited material used to fill the
features and to provide an even surface for subsequent levels of metallization and
processing.

Chemical mechanical planarization, or chemical mechanical polishing (CMP), is a common technique used to planarize substrates. CMP utilizes a chemical composition, typically a slurry or other fluid medium, for selective removal of material from substrates. Considerations in CMP slurry design are discussed in Rajiv K. Singh et al., "Fundamentals of Slurry Design for CMP of Metal and Dielectrics Materials", MRS Bulletin, pages 752-760 (October 2002). In conventional CMP techniques, a substrate carrier or polishing head is mounted on a carrier assembly and positioned in contact with a polishing pad in a CMP apparatus. The carrier assembly provides a controllable pressure to the substrate urging the substrate against the polishing pad. The pad is moved relative to the substrate by an external driving force. Thus, the CMP apparatus effects polishing or rubbing movement between the surface of the substrate and the polishing pad while dispersing a polishing composition, or slurry, to effect both chemical activity and mechanical activity.

Conventional slurries used for CMP processes contain abrasive particles in a reactive solution. Alternatively, the abrasive article can be a fixed abrasive article, such as a fixed abrasive polishing pad, which may be used with a CMP composition or slurry that does not contain abrasive particles. A fixed abrasive article typically comprises a backing sheet with a plurality of geometric abrasive composite elements adhered thereto.

Abrasives which are most extensively used in the semi-conductor CMP process are silica (SiO_2), alumina (Al_2O_3), ceria (CeO_2), zirconia (ZrO_2), and titania (TiO_2), which can be produced by a fuming or a sol-gel method, as described in U.S. Pat Nos. 4,959,113; 5,354,490; and 5,516,346 and WO 97/40,030. There has recently been reported a composition or a slurry comprising mangania (Mn_2O_3) (European Pat. No. 816,457) or a silicon nitride (SiN) (European Pat. No. 786,504).

U.S. 6,508,952 discloses a CMP slurry containing any commercially available abrasive agent in particle form, such as SiO_2 , Al_2O_3 , ZrO_2 , CeO_2 , SiC , Fe_2O_3 , TiO_2 , Si_3N_4 , or a mixture thereof. These abrasive particles normally have a high purity, a high surface area, and a narrow particle size distribution, and thus are suitable for use in abrasive compositions as abrasive agents.

U.S. 4,549,374 discloses polishing semiconductor wafers with an abrasive

slurry prepared by dispersing montmorillonite clay in deionized water. The pH of the slurry is adjusted by adding alkali such as NaOH and KOH.

Demands for electrical processing speed have continued to increase requiring higher and higher circuit densities and performance. It is now desirable to fabricate chips with 8 or more layers of circuit patterns. In principal the requirement for more layers does not change the nature of polishing, but it does require more rigorous specifications from the polishing method. The width of each layer can be $< 5 \mu\text{m}$. Defects such as scratches and dishing must be lessened or eliminated. An issue that further increases the technical demand is the move toward 300 mm wafers. The larger wafer makes it more difficult to maintain uniformity over larger length scales as compared to an 8", or 200 mm, wafer.

Besides adding layers, increased circuit density can be achieved by decreasing the space between the individual pathways. Pathways cannot be too close as electrical spillover can occur across the SiO_2 dielectric (the wafer oxide) effectively shorting out the connection. Recent technological advancements permitting the fabrication of very small, high density circuit patterns on integrated circuits have placed higher demands on isolation structures.

US Patent Application Publication 2003/0129838 (filed December 28, 1999) discloses the following non-plate-like abrasive materials: iron oxide, strontium titanate, apatite, diopase, iron, brass, fluorite, hydrated iron oxide, and azurite.

SUMMARY OF THE INVENTION

High performance polishing is required in the fabrication of integrated circuits (ICs) for computer and electronics applications. In essence, an IC is a device made up of many thin layers sequentially deposited on an inorganic oxide wafer. The layers have different compositions including oxide, metal, or dielectric materials, and each must be polished within narrow tolerances and high selectivity in order to obtain a working device. Chemical Mechanical Polishing (CMP) is a means to accomplish this task. Polishing is accomplished via the removal of surface features using a liquid chemical slurry and a rotating polymer brush. In an effective system, synergistic relationships between surface etching chemicals, surface protecting chemicals,

abrasives in the slurry, and polymer pad physics result in a uniform flat surface. In the present invention, particles having a non-spherical morphology are used as the abrasive in a CMP slurry.

BRIEF DESCRIPTION OF THE DRAWINGS

5 Figure 1 is an example of one modified non-spherical particle of the present invention.

 Figure 2 is an example of another modified non-spherical particle of the present invention.

 Figure 3 is an example of a partially coated non-spherical particle of the
10 present invention.

 Figure 4 is an example of another partially coated non-spherical particle of the present invention.

 Figure 5 is an example of another partially coated non-spherical particle of the present invention.

15 Figure 6 is an example of another partially coated non-spherical particle of the present invention.

 Figure 7 is an example of a completely coated non-spherical particle of the present invention.

 Figure 8 is an example of another partially coated non-spherical particle of the
20 present invention.

 Figure 9 is a depiction of the use of CMP to remove rider from a silicon dioxide layer.

 Figure 10 is a depiction of polishing an etched semiconductive wafer.

 Figure 11 is a depiction of polishing an etched wafer containing metal.

25 Figure 12 is a Scanning Electron Micrograph (SEM) of the ultrafine abrasive particles prepared in Example 1 below.

 Figure 13 is a graph comparing the removal rate of copper using a CMP slurry containing aluminum oxide and a CMP slurry containing calcined kaolin particles as the abrasive.

30 Figure 14 is a Scanning Electron Micrograph (SEM) of the ultrafine abrasive particles (Sample A) prepared in Example 3 below.

DETAILED DESCRIPTION OF THE INVENTION

In general, CMP slurry compositions include abrasives for mechanical action and at least one of: oxidizers, acids, bases, complexing agents, surfactants, dispersants, and other chemicals for providing a chemical reaction such as oxidation on the surface to be polished. Certain poisons are typically avoided. Examples include metal ions with high mobilities, such as Na^+ , or elements that undergo reaction with wafer materials such as fluorine (although HF is sometimes used in post-CMP cleaning).

Non-limiting examples of available bases include KOH, NH_4OH , and R_4NOH . Acids also can be added, which can be exemplified by H_3PO_4 , CH_3COOH , HCl, HF and so on. Available as such supplementary oxidizing agents are H_2O_2 , KIO_3 , HNO_3 , H_3PO_4 , $\text{K}_2\text{Fe}(\text{CN})_6$, $\text{Na}_2\text{Cr}_2\text{O}_7$, KOCl, $\text{Fe}(\text{NO}_3)_2$, NH_2OH , and DMSO. Divalent acids, such as oxalic acid, malonic acid, and succinic acid can be used as additives for the polishing composition of the present invention.

Additional suitable acid compounds that may be added to the slurry composition include, for example, formic acid, acetic acid, propanoic acid, butanoic acid, pentanoic acid, hexanoic acid, heptanoic acid, octanoic acid, nonanoic acid, lactic acid, nitric acid, sulfuric acid, malic acid, tartaric acid, gluconic acid, citric acid, phthalic acid, pyrocatechoic acid, pyrogallol carboxylic acid, gallic acid, tannic acid, and mixtures thereof.

Suitable corrosion inhibitors that may be added to the slurry composition include, for example, benzotriazole, 6-tolylytriazole, 1-(2,3-dicarboxypropyl) benzotriazole, and mixtures thereof.

Carboxylic acids, if added, may also impart corrosion inhibition properties to the slurry composition.

To increase the selectivity of tantalum and tantalum compounds relative to silicon dioxide, fluorine-containing compounds may be added to the slurry composition. Suitable fluorine-containing compounds include, for example, hydrogen fluoride, perfluoric acid, alkali metal fluoride salt, alkaline earth metal fluoride salt, ammonium fluoride, tetramethylammonium fluoride, ammonium bifluoride, ethylenediammonium difluoride, diethylenetriammonium trifluoride, and mixtures

thereof.

Suitable chelating agents that may be added to the slurry composition include, for example, ethylenediaminetetracetic acid (EDTA), N-hydroxyethylethylenediaminetriacetic acid (NHEDTA), nitrilotriacetic acid (NTA), diethylkdenetriaminepentacetic acid (DPTA), ethanoldiglycinate, and mixtures thereof.

The chelating agents may aid in the softening of the metallic surface or even help to protect low lying features or surfaces of particular composition. The idea of protection mechanisms may lead to significant improvements.

Suitable amines that may be added to the slurry composition include, for example, hydroxylamine, monoethanolamine, diethanolamine, triethanolamine, diethyleneglycolamine, N-hydroxyethylpiperazine, and mixtures thereof.

Suitable surfactant compounds that may be added to the slurry composition include, for example, any of the numerous nonionic, anionic, cationic, or amphoteric surfactants known to those skilled in the art.

The pH of the slurry is vital to the performance of all slurry components. The acidity level of a solution can control reaction rates at the surface, formation constants of metal complexing agents, rates of surface oxidation, solution ionic strength, aggregation size of slurry particles, and more. Examination of various acids, bases, and pH buffers are a prospective area for CMP development.

In accordance with the present invention, a CMP slurry is provided in which the abrasive is formed of particles having a morphology wherein at least one dimension (height, length and/or width) is substantially larger than another. For purposes of this application, such a morphology will be described as "non-spherical." Thus, a non-spherical particle morphology may be plate-like, sheet-like, needle-like, capsule-like, laminar-like, or any other of a myriad of shapes having at least one dimension substantially larger than another. Such morphology distinguishes over spherical particles which are substantially round in appearance and do not have noticeable elongated surfaces. Laminar clays such as kaolin, vermiculite and montmorillonite (that can be exfoliated) and modifications of such clays that preserve the clay shape such as acid leached kaolin, mica, talc, graphite flake, glass flake, and synthetic polymer flake are useful as abrasives in the CMP slurries of this invention.

These non-spherical particles are primary in the slurry. Thus, the phrase "non-spherical particle" as used herein does not cover a non-spherical agglomeration of spherical particles.

It is believed that the abrasive particles having a non-spherical morphology provide an advantage over the prior art ceramic oxide materials of spherical shape. It is believed that the pressure of the non-spherical abrasive on the substrate surface is distributed over an area rather than a point of contact as the spherical particles. Accordingly, non-spherical particles provide a gentle polishing action and yet reduce micro-scratching, oxide loss, as well as reduce dishing and erosion compared to the point of contact polishing achieved by the hard ceramic abrasives presently used.

In addition to having a non-spherical morphology, the abrasive particles are preferably softer than the silica or alumina abrasives typically used for CMP. Accordingly, the non-spherical abrasive particles have a Mohs hardness of about 1 to 6. For reference, Table 1 below sets forth the various metals and abrasive particles:

TABLE 1

Materials	Mohs	Microhardness [kg mm ⁻²]
Copper	2.5-3.0	80
Tantalum	6.5	230
Tungsten	7.5-8.0	350
Hydrated SiO ₂	4-5	400-500
SiO ₂	6-7	1200
Copper Oxide	3.5-4.0	-
Kaolin (hydrous)	2-3	-
Kaolin (calcined)	4.0-6.0	
Alumina	9.0	2000
ZrO ₂	6.5	-
Diamond	10.0	10000

It is believed that a non-spherical abrasive having a Mohs hardness between about 1-6 is hard enough to provide the necessary mechanical action of a CMP slurry, yet defects such as scratching, dishing, and overpolishing action can simultaneously be

avoided.

In general, the non-spherical particle abrasive will comprise up to 20 by weight percent of the slurry although abrasive solids contents up to 60 wt.% may be prepared.

More typically, amounts of less than 15% by weight and more preferably, an abrasive
5 content in amounts of from 0.5-8 wt.% are utilized.

At present, kaolin clay particles are preferred as the non-spherical abrasive. While hydrous kaolin can be utilized, it has been found that if the kaolin has been calcined, a better polishing rate results. However, the overall performance of hydrous kaolin is better than calcined kaolin and thus, hydrous kaolin is preferred. Calcination
10 of the kaolin to undergo a strong endothermic reaction associated with dehydroxylation results in metakaolin. Kaolin clay calcined under conditions more severe than those used to convert kaolin to metakaolin, i.e., kaolin clay calcined to undergo the characteristic kaolin exothermic reaction, results in the spinel form of calcined kaolin and also mullite if more extreme conditions are utilized. Generally,
15 calcination of the hydrous kaolin at temperatures of 1200°F and higher results in the dehydroxylation of hydrous kaolin to metakaolin. Calcination temperatures of 1400-2200°F can be used to produce a kaolin clay that has been calcined through its characteristic exotherm to spinel form kaolin. At the higher temperatures, e.g. above 1900°F, formation of mullite occurs. Any and all of these forms of kaolin clay can be
20 utilized as the abrasive of this invention. All of these materials are available commercially from the present assignee, Engelhard Corporation, Iselin, New Jersey.

Hydrous kaolin is typically prepared through combination of unit operations that modify the particle size distribution and remove coloring impurities from kaolin. These unit operations are facilitated by using aqueous suspensions of kaolin in water.
25 Examples of unit operations that change the particle size distribution are centrifuges, delamination or milling devices and selective flocculation. Examples of unit operations that result in removal of coloring impurities are flotation and magnetic separation. Further, reductive and/or oxidative bleaching can be used to render coloring impurities colorless. In addition, filtration may be utilized to substantially
30 remove water from kaolin following which the high solids filtration product slurry can be spray dried. The spray dried portion can be added back to the high solids filter

product slurry to further raise the solids content of the slurry. The filtration product may not be dispersed and thus the filtercake can be dried and pulverized to obtain what is referred to as acid dried kaolin product in the industry. Additionally, the kaolin may be modified by thermal or chemical treatments. Typically, the kaolin is pulverized prior to and after the calcinations operation. Treated kaolin can be slurried to further effect modifications to the particle size distribution through the unit operations mentioned above.

Other useful non-spherical abrasive particles are brucite (magnesium hydroxide), hydrotalcite, and nanotalc. The preceding materials are commercially available. Other useful non-spherical abrasive particles are disclosed in commonly assigned US Patent 6,187,710 incorporated herein by reference in its entirety. This patent teaches in one embodiment clay minerals made up of elementary three-layer platelets consisting of a central layer of octahedrally oxygen-surrounded metal ions (octahedron layer), which layer is surrounded by two tetrahedrally surrounded, silicon atom-containing layers (tetrahedron layer), characterized in that the dimensions of the clay particles vary from 0.1 micron to one micron. In the octahedron layer, at most 30 at. % of the metal ions has been replaced by ions of a lower valency and in the tetrahedron layers, at most 15 at. % of the silicon ions has been replaced by ions of a lower valency. The patent teaches in another embodiment that the silicon (germanium) in the tetrahedron layer can be replaced by trivalent ions. In the octahedron layer, aluminum, chromium, iron (III), cobalt (III), manganese (III), gallium, vanadium, molybdenum, tungsten, indium, rhodium, and/or scandium are preferably present as trivalent ions. As divalent ions, magnesium, zinc, nickel, cobalt (II), iron (II), manganese (II), and/or beryllium are preferably present in the octahedron layer. In the tetrahedron layer, silicon and/or germanium is present as tetravalent component and preferably, aluminum, boron, gallium, chromium, iron (III), cobalt (III), and/or manganese (III) are present as trivalent component.

The components required for the synthesis, oxides of silicon (germanium) for the tetrahedron layer and the tri/di/monovalent ions for the octahedron layer, are presented in aqueous medium, are brought to the desired pH (3-9, preferably 5-9) and are then maintained for some time at a temperature of 60-350°C., with the pH being

- 10 -

maintained within the desired range. The reaction time strongly depends on temperature, and hence on pressure, with higher temperatures enabling shorter reaction times. In practice, reaction times to the order of 5-25 hours are found at the lower temperatures, 60-125°C., whereas at temperatures in the range of 150°C. and higher, reaction times to the order of some minutes to approximately 2.5 hours may suffice. The reaction time partly determines the dimensions of the clay minerals.

Such a process may be carried out in various ways, depending on the nature of the components and the desired result. Preferably, chlorides of the metals involved are not worked with, as they lead to a reaction into clay minerals that is hardly perceptible, if at all. For more process details, see incorporated US Patent 6,187,710.

Another useful non-spherical abrasive particle comprises expandable clay platelets that are modified via complexation with other components. The expandable clay systems include smectite clays, montmorillonite, Laponite, Stevensite, and many other natural and synthetic clays with varying composition, charge density, and platelet dimensions. It is known in the clay literature that these types of layered materials may be modified by a variety of ion exchange and intercalation processes. Also, positively charged platelets, such as hydrotalcite and other layered double hydroxides, may go through similar types of chemistry as the negatively charged smectite platelets.

One example of expandable clay platelets that are modified via complexation with other components follows. In Figure 1, expandable clay platelets 10 have charged cations 12 such as sodium ions residing in the interlayer space of the clay platelets. The expandable clay platelets 10 are ion exchanged with inorganic clusters 14 such as aluminum oxide hydroxide cation (Al_{13} Keggin ion) to replace the cations 12. The higher charge density of these resulting clusters yields a stronger interlayer interaction, and the clay layers remain stacked. The resulting material is either used without further modification or heated to elevated temperatures to form a 3-dimensional pillared structure. Alternatively, positively charged platelets, such as hydrotalcite, may be intercalated with anionic clusters such as poly-oxometallates of Mo, W, and other transition metals.

Another example of expandable clay platelets that are modified via

complexation with other components follows. In Figure 2, expandable clay platelets 10 have charged cations 12 such as sodium ions residing in the interlayer space of the clay platelets. Organic cations 16, such as long chain alkyl ammonium ions, are exchanged into the interlayer spaces of smectite or similar negatively charged expandable clay platelets 10. Alternatively, anionic organic molecules, such as organo-sulfonates, may be intercalated into the interlayer space of positively charged platelets, such as hydrotalcite.

Another useful non-spherical abrasive particle comprises a central host that is coated with a secondary component. The central host may be a non-spherical particle such as those described above, three-dimensional particles such as alumina or other metal oxide particle. The coating may partially or completely cover the central host. Also, a particle may have multiple coatings on it.

One example of a partially coated platelet follows. In Figure 3, host non-spherical particle 18 is partially coated with smaller platelets 20. Examples of useful smaller platelets 20 include laponite or other smectite particles or organic polymer coated on the surface of the host non-spherical particle 18. A particular smaller platelet may have the desired softness and composition, but the platelet size may be too small or there may be problems with dispersing it. By placing these platelets on the surface of the host, with more desirable rheology, dispersion, etc., a more effective abrasive may be developed. These types of materials may be synthesized by a number of approaches, including layer-by-layer techniques.

Another example of a partially coated platelet follows. In Figure 4, host non-spherical particle 18 is substantially coated with smaller spherical particles 22. Examples of useful smaller spherical particles 22 include colloidal particles such as colloidal silica and molecular species such as the aluminum oxide hydroxide Keggin ion. The size, composition, charge density, and other attributes of the smaller spherical particles 22 may be adjusted to meet the desired final properties. In addition, different size spheres may be placed on the surface in subsequent coatings to create different levels of packing, porosity, and softness.

Another example of a partially coated platelet follows. In Figure 5, host non-spherical particle 18 is substantially coated with smaller crystallites 24. Examples of

useful smaller crystallites 24 include metal oxide or silica crystallites or non-oxide ceramic phases such as metal carbides and nitrides. Such a coating may be formed by heating to convert the platelets or colloidal particles into a crystalline oxide. Alternatively, the desired phase may be crystallized directly onto the surface of the host non-spherical particle 18 similar to known techniques for forming titanium dioxide coated mica pearlescent pigments. An example of a useful process is disclosed in commonly assigned US Patent 4,038,099 incorporated herein by reference in its entirety.

Another example of a partially coated platelet follows. In Figure 6, host non-spherical particle 18 is substantially coated with a polymer 26. Examples of useful polymers include] diallyldimethylammonium chloride (abbreviated PDADMAC) or polysodiumstyrene sulfonate (abbreviated PSS). The surface properties such as charge, softness, isoelectric point, rheology etc. may be adjusted by coating the surface of the host non-spherical particle 18 with polymer 26.

An example of a completely coated platelet follows. In Figure 7, host non-spherical particle 18 is completely coated with carbon 28. Various precursors, such as polymers, organic molecules, etc. may be placed on the surface of the host particle 18. The coated material is then pyrolyzed to form a carbon coating 28. The carbon coating may be very thin (few nm in thickness) or thick depending on the desired property.

Another example of a partially coated platelet follows. In Figure 8, host non-spherical particle 18 is partially coated with organic functional groups 30. The particle 18 may be treated with coupling agents such as organo-silanes to attach a molecule directly to the particle surface. Typically, reactive groups on the particle surface, such as hydroxide groups, react with the alkoxy or halo groups of the silane. The result is the introduction of organic groups with specific functionality to the particle surface.

Particle sizes of the non-spherical abrasive regardless of the type utilized will typically have an average diameter less than about 1 micron as measured by commercially used particle measurement techniques. See for example commonly assigned US Patent 4,767,466 teaching that particle sizes are determined with the

Sedigraph 5100 particle size analyzer and reported as equivalent spherical diameter on a weight percentage basis. Kaolin particle size for example is measured by x-ray sedimentation, e.g. Sedigraph 5100. The average particle size for kaolin will preferably range from about 0.01 to less than about 1 micron and more preferably range from about 0.01 to about 0.5 micron.

The non-spherical abrasive can be combined with any of the chemical adjuvants which typically form a CMP slurry, such as acids, bases, dispersants, oxidizers, complexing agents, surfactants and/or passivating agents. The CMP slurry containing the non-spherical abrasive agent can be utilized in any CMP processing. Examples of typical CMP processing are described below. These are intended to be examples only and are not provided for the purpose of limiting the uses of the CMP slurries of this invention to the specific processing techniques or conditions disclosed. Thus, the CMP slurries of this invention containing the non-spherical abrasive are intended to be used for any of the CMP processes which are now known or can be utilized in the future as the complexity of the integrated circuits increases.

For example, in oxide-CMP, the pH of the aqueous solution is adjusted to maintain the suspension of small particles and to soften the surface of the silicon wafer such that the high features can be ground away by the action of the abrasives. Depending on the selected chemistry, the pH of the slurry may be adjusted accordingly. Thus, the pH may be acidic or basic. The surface of the wafer is thought to undergo a transformation under the alkaline conditions as sketched in Figure 9. As shown in Figure 9, substrate 32 formed of silicon dioxide is treated by the combination of *chemical* (alkaline reactivity) and *mechanical* action (particles abrasion). This situation represents the most straight forward case of oxide-only polishing. Thus, the silicon-oxide-silicon bonds are broken by the alkaline reaction and the individual silicon hydroxide moieties on the surface are removed by the mechanical abrasive action.

In order to place an electrical circuit on a chip, a pattern must be etched on the wafer surface as in Figure 10. In this embodiment, substrate 34 has been etched to form a series of channels 36 which can be filled with dielectric or conductive metal components. The etched substrate 34 increases the challenge of polishing because the

surface is not uniform. The substrate 34 as shown has an etched area of low pattern density (A) and an area of high pattern density (B). Surface removal during polishing tends to be greater in areas (B) where the pattern density is high because the local pressure exerted by the pad is distributed over less surface area. Other defects such as erosion and rounding of sharp corners and features of the pattern must also be minimized.

Once the wafer containing an etched pattern is prepared, a metal layer can be applied, which will be the electrical circuit. Figure 11 illustrates such a wafer which includes wafer substrate 38, patterned area or channels 40, and metal or metal alloy 42 contained within the patterned areas. The metal used is usually a conductive copper/aluminum (Cu/Al) alloy or tungsten (W), which are more resistant to temperature and oxidation than bulk Cu metal. Polishing is required to remove the metal overburden 44 as the metal layer extends beyond the low lying etched areas. Metal polishing, as opposed to oxide polishing, is accomplished using an oxidizing agent in the aqueous solution in order to form a soft oxide layer on the metal surface that can be removed by the mechanical abrasives in the slurry. Again, the use of both chemical and mechanical means are used to polish the surface.

There are added challenges with metal-CMP. Multiple surface compositions are present with varied coverage densities, yet a uniform removal of metal must be attained. All the overburden metal must be removed in order to prevent electrical shorts between the circuit lines. Some of the metal surface 42 may undergo metal over polishing within the trench areas 40 called dishing in Figure 11. In Figure 11, LS means line space while LW means line width. The sum of LW and LS is pitch. LW divided by pitch is pattern density. An approach to limit dishing is to add a complexing agent that binds to the low lying metal areas, forming a protective layer and limiting further metal erosion from slurry oxidizers. Clearly, the aqueous slurry and pad composition must be chosen carefully to balance erosion and protection processes.

Removing excess metal or other contamination from smaller and smaller spaces between individual pathways presents ever increasing challenges for CMP processing. Copper metal has a smaller intrinsic resistance and capacitance than

Cu/Al alloy, which is currently used as the conducting medium. Therefore, a smaller electrical potential is required to send a signal through a copper line, reducing the tendency for electrical spillover. In effect, by using Cu-only, the circuit pathways can be placed closer together.

5 However, the use of Cu also has disadvantages. Copper does not adhere well to oxide surfaces. Copper is also susceptible to bulk oxidation as, unlike WO_3 or Al_2O_3 , a CuO or CuO_2 surface layer still allows O_2 and H_2O to penetrate into the bulk metal. Moreover, Cu atoms are mobile and can migrate into the SiO_2 wafer material ultimately causing the transistors in the circuit to fail. Therefore, a thin layer of low
10 dielectric material, typically composed of tantalum, tantalum nitride, or titanium nitride, is placed between the wafer oxide and conducting Cu layers. The buffer layer promotes Cu adhesion, prevents oxidation of the bulk Cu metal, prevents Cu ion contamination of the bulk oxide, and further lowers the dielectric between the circuits (i.e. allows the circuits to be even more closely spaced).

15 One of the uses of CMP technology is in the manufacture of shallow trench isolation (STI) structures in integrated circuits formed on semiconductor chips or wafers such as silicon. The purpose of an STI structure is to isolate discrete device elements (e.g., transistors) in a given pattern layer to prevent current leakage from occurring between them.

20 An STI structure is usually formed by thermally growing an oxide layer on a silicon substrate and then depositing a silicon nitride layer on the thermally grown oxide layer. After deposition of the silicon nitride layer, a shallow trench is formed through the silicon nitride layer and the thermally grown oxide layer and partially through the silicon substrate using, for example, any of the well-known
25 photolithography mask and etching processes. A layer of a dielectric material such as silicon dioxide is then typically deposited using a chemical vapor deposition process to completely fill the trench and cover the silicon nitride layer. Next, a CMP process is used to remove that portion of the silicon dioxide layer covering the silicon nitride layer and to planarize the entire surface of the article. The silicon nitride layer is
30 intended to function as a polishing stop that protects the underlying thermally grown oxide layer and silicon substrate from being exposed during CMP processing. In some

applications, the silicon nitride layer is later removed by, for example, dipping the article in an HF acid solution, leaving only the silicon dioxide filled trench to serve as an STI structure. Additional processing is usually then performed to form polysilicon gate structures.

5 The use of Cu and accompanying low dielectric buffer layer demand enhanced performance from polishing techniques. The new techniques are called Cu-CMP but in principle do not differ significantly from previous polishing methods. The CMP process must be able to remove the soft Cu metal overburden, yet limit Cu dishing, scratching, and removal of the low dielectric buffer layer. Simultaneously, tolerances
10 are more rigorous because of more closely spaced circuit patterns. The ability to produce layers that are thin, flat, and defect free is of paramount importance.

 As is also known in the art, one method for forming interconnects in a semiconductor structure is a so-called dual damascene process. A dual damascene process starts with the deposition of a dielectric layer, typically an oxide layer,
15 disposed over circuitry formed in a single crystal body, for example silicon. The oxide layer is etched to form a trench having a pattern corresponding to a pattern of vias and wires for interconnection of elements of the circuitry. Vias are openings in the oxide through which different layers of the structure are electrically interconnected, and the pattern of the wires is defined by trenches in the oxide. Then, metal is deposited to fill
20 the openings in the oxide layer. Subsequently, excess metal is removed by polishing. The process is repeated as many times as necessary to form the required interconnections. Thus, a dual damascene structure has a trench in an upper portion of a dielectric layer and a via terminating at the bottom of the trench and passing through a lower portion of the dielectric layer. The structure has a step between the bottom of
25 the trench and a sidewall of the via at the bottom of the trench.

 The abrasive particles of the current invention can be used in CMP of copper in applications other than logic (such as microprocessors) or memory (such as flash memory) devices where copper is used in the interconnect metallic layers. For example, improving the thermal and electrical characteristics of the packaging of the
30 device may involve use of a copper layer that needs to be planarized. The structure of the interconnect copper layer in the integrated circuit device and the copper layer in

packaging may be different leading to different requirements on thickness of layer to be removed, planarity, dishing and defectivity. Also Micro-ElectroMechanical Systems (MEMS) may have a copper layer that may require planarization using CMP. Abrasive particles of the current invention can be used in CMP slurries for this application also.

A review of CMP processing is provided in "Advances in Chemical-Mechanical Planarization," Rajiv K. Singh and Rajiv Bajaj, MRS Bulletin, October 2002, pages 743-747. In general, while the CMP process appears quite simple, achieving a detailed understanding has been limited primarily by the large number of input variables in the polishing process. Among such variables are slurry variables such as particles and chemicals, pad variables, tool variables such as down pressure and linear velocity, and substrate variables such as pattern density. The article provides a good review of the process variables and emerging applications for CMP technology and is herein incorporated by reference.

EXAMPLE 1

Ansilex 93[®] calcined kaolin slurry (50% solids) supplied by Engelhard Corporation was used as the starting material. The slurry was mixed with 4 pounds per ton of Defloc 411 (ammonium polyacrylate) supplied by Sharpe Specialty Chemicals. The mixture was Netzsch milled at 1.2 gallons per minute (gpm) - 2 passes using zirconia beads. After Netzsch milling, 2 pounds per ton of Defloc 411 was again added and the mixture then spray dried in order to keep the slurry from spoiling. The spray dried product was reslurried in a Waring Blender for 5 minutes, then deslimed on the CU5000 (centrifuge) at 40% solids for 26 minutes wide open. Desliming removed the ultrafine fraction of the particulate slurry, which is of interest for the CMP application. The size distribution of the spray dried and the ultrafine product as measured by Sedigraph 5100 are set forth in Table 2. An SEM of the ultrafine product, diluted several times to enhance image quality, is shown in Fig. 12. The SEM was obtained using a field emission electron microscope (Jol 6500F) at 5 kV.

TABLE 2

	Ansilex 93 Slurry	Spray dried product Reslurried	Ultrafine Product
PSD (mass % finer than) (microns)			
2			
1	92	94	100
0.5	79	83	99
0.3	46	58	98
0.2	16	31	85
	5	17	65

EXAMPLE 2

5 The ultrafine product of Example 1 was reslurried to 4% solids. The slurry was passed through a Puradisc 25 GD glass filter (25 mm diameter and pore size of 2 microns) to remove oversize particles. A chemical package from a generic Copper CMP slurry was added to the abrasive slurry. The chemical package included an oxidizer (hydrogen peroxide), a passivator (benzotriazole), a complexing/etching agent (citric acid), and a stabilizer (TEA, TX-100). For comparison, a commercial alumina-based CMP slurry (Cabot Microelectronics) was used.

10 The CMP slurries were tested on 200 mm Si wafers provided with copper interconnects and a Ta diffusion barrier by the dual-damascene process. A polishing machine (Novellus IPEC 372) with a down pressure of 2 psi was used to apply the CMP slurries. Results are shown in Table 3 and Figure 13. Also, see Figure 11 for understanding the definitions of "Pitch" and "Pattern Density" used in Table 3.

TABLE 3

Surface Topography (overpolished area)	
Alumina Slurry	Kaolin Slurry
100 μ m Pitch	100 μ m Pitch
50% Pattern Density	50% Pattern Density
Erosion and Dishing – severe overpolishing	Erosion < 1 nm
Very low Cu/Ta selectivity	Good Cu/Ta selectivity

1. Pitch = Line width + line space
2. Pattern Density = Line width/Pitch

The measurements in Table 3 are from the over polished area of the wafer. In case of the alumina slurry, severe overpolishing precluded measurements on dishing and erosion.

5

EXAMPLE 3

A hydrous kaolin spray dried product from Engelhard was used as the starting material. The spray dried product was reslurried in lab in a Waring Blender for 5 minutes to 40% solids, then deslimed on CU5000 centrifuge at 40% solids for 15 minutes wide open (2400 rpms). The ultrafine hydrous kaolin fraction constituting the supernatant at 5% solids from the desliming step was filtered through Whatman filter (25 mm diameter and pore size of 2 μ) and constituted the abrasive slurry for use in CMP formulation (Sample A). The size distribution of the starting spray dried product and the ultrafine product as measured by Sedigraph 5100 are set forth in Table 4.

10

Table 4

PSD (mass % finer than) (microns)	Starting Spray dried product	Ultrafine hydrous Kaolin (Sample A)
2	98	100
1	98	99
0.5	92	98
0.3	73	84
0.18	50	61

An SEM of the ultrafine hydrous kaolin (Sample A), diluted several times to enhance image quality, is shown in Fig. 12. The SEM was obtained using a field emission electron microscope (Jeol 6500F) at 10 kV.

Another sample (Sample B) was prepared from a different starting feed PSD material and after desliming on CU5000 centrifuge at 40% solids for 15 minutes wide open (2400 rpms), the ultrafines were further subjected to an additional 32 minutes desliming at 2400 rpm and filtered through Whatman filter (25 mm diameter and pore size of 2 μ). The size distribution of the starting spray dried product and the ultrafine product as measured by Sedigraph 5100 are set forth in Table 5.

20

25

Table 5

PSD (mass % finer than) (microns)	Starting spray dried product	Ultrafine hydrous kaolin (Sample B)
2	88	100
1	80	99
0.5	66	99
0.3	47	93
0.18	32	78

EXAMPLE 4 AND COMPARATIVES A AND B

5 Chemical package from Example 2 was added to the ultrafine hydrous kaolin slurry (Sample A) from Example 3 to prepare a CMP formulation for planarization of Cu (Example 4). Other CMP formulations were prepared with the same chemical package by using a fumed silica slurry and alumina slurry. The fumed silica used was Aerosil 200 from Degussa (primary particle size of 12 nm and average aggregate size
10 of 170 nm as measured by Microtrac)(Comparative A). The alumina particles were of alpha form and obtained from Polishing Solutions Inc. (Comparative B). The proprietary alumina particles are used in commercial CMP slurries for metal planarization.

The CMP slurries were tested on bare 200 mm tetraethylorthosilicate
15 (hereinafter "TEOS") silica wafers as well as coated with either copper or tantalum to determine the polishing rate to aid in estimating the polishing time for clearing copper on the patterned wafers, as well as determine surface smoothness and selectivity between copper/tantalum and copper/silica. The CMP slurries were then tested on 200 mm Si wafers provided with copper interconnects and Ta diffusion barrier by the
20 dual damascene process (patterned wafers) to assess the erosion and dishing. Erosion was measured at 70% patterned density while the dishing was measured on 300 micron pitch copper line. The dishing and erosion measurements were done on both the polished and overpolished wafers (20% extra time over polished wafers) to determine sensitivity of these undesirable topographic features to overpolishing.

25 The testing was done on a IPEC-372 M machine (Polishing Solutions Inc., Phoenix, AZ) at 5 psi down pressure and 60 rpm platen speed. WIWNU stands for

Within Wafer Non Uniformity.

Blanket Wafers

Abrasive in CMP slurry	Material Removal Rate (nm/min)	WIWNU, %	Cu/Ta Selectivity	Tantalum/TEOS Selectivity
Example 2	254	4.7	65	5.9
Comparative A	206	4.9	40	1.4
Comparative B	713	10.2	17	2.8

- 5 Clearly, ultrafine hydrous kaolin based CMP slurry resulted in the desired higher selectivity and uniformity than either fumed silica or alumina. The copper material removal rate with the ultrafine hydrous kaolin is comparable to fumed silica and lower than that due to alumina. The Cu/Ta selectivity is more critical than the polishing rate since the expected outcome from the Cu planarization slurry is to stop at
- 10 the Ta layer. The low Ta planarization rate with the hydrous kaolin formulation precludes from taking advantage of better Ta/TEOS selectivity than silica or alumina based CMP formulation.

Patterned Wafers

- 15 Just-Polished: estimated by visual inspection of the wafer when copper is just cleared.
Overpolished: New patterned wafer polished with 20% extra time over that required to clear copper.

Abrasive in CMP slurry	Polished Condition		Overpolished Condition	
	Dishing (nm)	Erosion (nm)	Dishing (nm)	Erosion (nm)
Example 2	419	95	618	74
Comparative A	450	278	655	386
Comparative B	332	186	522	390

20

Abrasive in CMP slurry	% increase in dishing	% increase in erosion
Example 2	50	0
Comparative A	50	40
Comparative B	60	110

The ultrafine hydrous kaolin based CMP slurry resulted in significantly lower erosion with no sensitivity to overpolishing compared to silica and alumina. This is consistent with the high selectivity for copper/tantalum and tantalum/TEOS removal

rates obtained with the ultrafine hydrous kaolin slurry. Thus, compared to silica and alumina, the ultrafine hydrous kaolin slurry is expected to result in lower erosion as well as oxide and metal loss.

The dishing was similar with all the abrasives indicating a strong role of the chemistry in the formulation compared to the mechanical action of the abrasives.

EXAMPLE 5 AND COMPARATIVES C AND D

In this example, CMP formulations based on ultrafine hydrous kaolin (Sample B) and fumed silica in Example 4 were used with the exception of removal of TX100 and TEA from the chemical package and lowering the slurry pH from 5 to 4 (Comparative C). In addition, an alumina-based commercial slurry from Cabot Microelectronics (CCMP) was also used (Comparative D).

The CMP slurries were tested on bare 200 mm TEOS wafers as well as coated with either copper or tantalum to determine the polishing rate to aid in estimating the polishing time for the patterned wafers, surface smoothness and selectivity between copper/tantalum as well as copper/silica. The CMP slurries were then tested on 200 mm Si wafers provided with copper interconnects and Ta diffusion barrier by the dual damascene process (patterned wafers) to assess the erosion and dishing. Erosion was measured at 70% patterned density while the dishing was measured on 150 micron width copper line. The dishing and erosion measurements were done on both the polished and overpolished wafers (20% extra time over polished wafers) to determine sensitivity to overpolishing.

The testing was done on the same machine as in Example 4 and at a down pressure of 2 psi and platen speed of 90 rpm.

Blanket Wafers

Abrasive in CMP slurry	Material Removal Rate (nm/min)	WIWNU, %	Copper/TEOS Selectivity
Example 5	173	1	1020
Comparative C	224	1	83
Comparative D	127	17	52

Clearly, ultrafine hydrous kaolin A based CMP slurry resulted in an improved material

removal rate and a dramatic improvement in selectivity and uniformity when compared to the commercial slurry. Compared to silica, the removal rate was slightly lower but this factor is far outweighed by dramatic increase in Cu/TEOS selectivity. The very high selectivity is due to extremely low removal rates for TEOS with the ultrafine hydrous that results in low erosion and almost no oxide and thus metal loss, which the semiconductor circuit designer has to normally compensate for in the design.

Patterned Wafers

Just-Polished: estimated by visual inspection of the wafer when copper is just cleared.

Overpolished: New patterned wafer polished with 20% extra time over that required to clear copper.

Abrasive in CMP slurry	Polished Condition		Overpolished Condition	
	Dishing (nm)	Erosion (nm)	Dishing (nm)	Erosion (nm)
Example 5	405	25	510	32
Comparative C	503	223	466	247
Comparative D	131	45	133	70

The ultrafine hydrous kaolin based CMP slurry resulted in roughly 10% of the erosion due to silica and 50% of the erosion due to the commercial CCMP slurry. The commercial slurry resulted in better dishing compared to both silica and the hydrous kaolin sample showing the importance of the optimized chemistry of the formulation.

What is claimed is:

1. A chemical-mechanical planarization abrasive slurry comprising primary abrasive particles having a non-spherical morphology.

5 2. The slurry of claim 1 wherein said abrasive particles having a non-spherical morphology are selected from mica, talc, laminar clays, graphite flake, glass flake, and synthetic polymer flake.

3. The slurry of claim 2 wherein said abrasive particles comprise laminar clay.

4. The slurry of claim 3 wherein said clay particles have been calcined at a temperature of at least 1200° F.

10 5. The slurry of claim 1 wherein said slurry comprises up to about 20 weight % of said abrasive particles.

6. The slurry of claim 5 wherein said slurry comprises about 0.5 to about 8 weight % of said abrasive particles.

15 7. The slurry of claim 1 wherein said abrasive particles have an average diameter of less than about 1 micron.

8. The slurry of claim 7 wherein said abrasive particles have an average diameter of about 0.01 to about 0.5 micron.

9. The slurry of claim 1, wherein said abrasive particles have a Mohs hardness within the range of about 1 to about 6.

20 10. The slurry of claim 1 wherein said non-spherical abrasive particles have been modified or at least partially coated.

(19) World Intellectual Property
Organization
International Bureau



(43) International Publication Date
30 September 2004 (30.09.2004)

PCT

(10) International Publication Number
WO 2004/083328 A3

(51) International Patent Classification⁷: C09G 1/02,
C09K 3/14, H01L 21/321, 21/768, 21/304, 21/306

(21) International Application Number:
PCT/US2004/007468

(22) International Filing Date: 11 March 2004 (11.03.2004)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
60/455,216 17 March 2003 (17.03.2003) US
60/509,445 8 October 2003 (08.10.2003) US
10/ 5 March 2004 (05.03.2004) US

(71) Applicant (for all designated States except US): ENGEL-
HARD CORPORATION [US/US]; 101 Wood Avenue,
P.O. Box 770, Iselin, NJ 08830-0770 (US).

(72) Inventors: MATHUR, Sharad; 602 Millrun Court, Ma-
con, GA 31210 (US). MOINI, Hamad; 168 Carter Road,
Princeton, NJ 08540 (US). PETROVIC, Ivan; 8C Brook-
line Court, Princeton, NJ 08540 (US).

(74) Agents: HAMPILOS, Gus, T. et al.; Engelhard Corpo-
ration, 101 Wood Avenue, P.O. Box 770, Iselin, NJ 0830-
0770 (US).

(81) Designated States (unless otherwise indicated, for every
kind of national protection available): AE, AG, AL, AM,
AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN,
CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI,
GB, GD, GE, GH, GM, GR, GU, HK, HU, IL, IN, IS, JP, KE,
KG, KP, KR, KZ, LC, LK, LR, LS, LU, LV, MA, MD,
MG, MK, MN, MW, MX, MY, NA, NI, NO, NZ, OM, PG,
PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM,
TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM,
ZW.

(84) Designated States (unless otherwise indicated, for every
kind of regional protection available): ARIPO (BW, GH,
GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW),
Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), Euro-
pean (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR,
GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK,
TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW,
ML, MR, NE, SN, TD, TG).

Published:

- with international search report
- before the expiration of the time limit for amending the
claims and to be republished in the event of receipt of
amendments

(88) Date of publication of the international search report:
11 November 2004

For two-letter codes and other abbreviations, refer to the "Guid-
ance Notes on Codes and Abbreviations" appearing at the begin-
ning of each regular issue of the PCT Gazette.

(54) Title: SLURRY COMPOSITIONS FOR USE IN A CHEMICAL-MECHANICAL PLANARIZATION PROCESS HAVING
NON-SPHERICAL ABRASIVE PARTICLES

(57) Abstract: A chemical-mechanical abrasive composition for use in semiconductor processing uses abrasive particles having a
non-spherical morphology.

WO 2004/083328 A3

INTERNATIONAL SEARCH REPORT

International Application No.
PCT/US2004/007468

A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 C09G1/02 C09K3/14 H01L21/321 H01L21/768 H01L21/304
H01L21/306

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C09G C09K H01L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 4 549 374 A (BASI JAGTAR S ET AL) 29 October 1985 (1985-10-29) cited in the application column 1, line 13 - line 14 column 1, line 41 - line 42 column 2, line 37 - line 40	1-3, 5, 6, 9
A	claims 1,2	4, 10
X	EP 0 773 269 A (TOKYO SHIBAURA ELECTRIC CO) 14 May 1997 (1997-05-14) claims 14,15	1, 2, 7-9
X	WO 01/48807 A (FELLER A DANIEL ; INTEL CORP (US); CADIEN KENNETH C (US)) 5 July 2001 (2001-07-05) cited in the application page 9, paragraph 4 claims 1,4,6,8,9	1, 5-7, 9
	-/-	

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents :

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

- *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- *&* document member of the same patent family

Date of the actual completion of the international search

7 September 2004

Date of mailing of the international search report

22/09/2004

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

Matthijssen, J-J

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US2004/007468

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P, X	& US 2003/129838 A1 (FELLER A DANIEL ET AL) 10 July 2003 (2003-07-10) ----- WO 03/064551 A (EKC TECHNOLOGY INC) 7 August 2003 (2003-08-07) paragraph '0055! - paragraph '0056! -----	1-3, 5, 6, 9, 10
E	WO 2004/063301 A (AIR PROD & CHEM) 29 July 2004 (2004-07-29) paragraph '0022! paragraph '0024! paragraph '0075! -----	1-3, 5, 7-9

Form PCT/ISA/210 (continuation of second sheet) (January 2004)

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US2004/007468

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
US 4549374	A	29-10-1985	DE 3367042 D1	20-11-1986
			EP 0103085 A1	21-03-1984
			JP 1703253 C	14-10-1992
			JP 3070897 B	11-11-1991
			JP 59035429 A	27-02-1984
EP 0773269	A	14-05-1997	JP 3514908 B2	05-04-2004
			JP 9194823 A	29-07-1997
			CN 1156326 A , B	06-08-1997
			CN 1161998 A , B	15-10-1997
			DE 69619197 D1	21-03-2002
			DE 69619197 T2	26-09-2002
			DE 69619207 D1	21-03-2002
			DE 69619207 T2	19-09-2002
			EP 0777266 A1	04-06-1997
			EP 0773269 A2	14-05-1997
			JP 3230986 B2	19-11-2001
			JP 9199455 A	31-07-1997
			KR 259936 B1	15-06-2000
			TW 438871 B	07-06-2001
			TW 428225 B	01-04-2001
			US 5861054 A	19-01-1999
			US 6069083 A	30-05-2000
WO 0148807	A	05-07-2001	US 2003129838 A1	10-07-2003
			AU 1784001 A	09-07-2001
			CN 1437763 T	20-08-2003
			EP 1258037 A1	20-11-2002
			WO 0148807 A1	05-07-2001
			US 2003143851 A1	31-07-2003
US 2003129838	A1	10-07-2003	AU 1784001 A	09-07-2001
			CN 1437763 T	20-08-2003
			EP 1258037 A1	20-11-2002
			WO 0148807 A1	05-07-2001
			US 2003143851 A1	31-07-2003
WO 03064551	A	07-08-2003	US 2003194879 A1	16-10-2003
			WO 03064551 A1	07-08-2003
WO 2004063301	A	29-07-2004	WO 2004063301 A1	29-07-2004